Policy: Section III 46A-68-78

Central Connecticut State University's policies listed below are posted on the Office of Equity & Inclusion web page at http://www.ccsu.edu/diversity/. During this reporting period, the Affirmative Action/ Equal Employment Opportunity Policy Statement, the CCSU Nondiscrimination in Employment and Education and the BOR\CSCU Sexual Misconduct Reporting, Support Services and Processes Policy policies were available on the university web site or were distributed via e-mail to all employees who are on the network, which is approximately 90% of the workforce. Hard copies were distributed to the remainder of the workforce who do not have access to e-mail.

- 1. Affirmative Action/Equal Employment Opportunity
- 2. Nondiscrimination in Employment and Education
- 3. BOR/CSCU Sexual Misconduct, Sexual Assault and Intimate Partner Violence Policy
- 4. Policy Regarding Persons with Disabilities
- BOR/CSCU Use of Preferred First Name & Execution of Change of Legal Name by Students -CSCU
- 6. Discrimination Complaint Procedure (See Section XIV-Discrimination Complaint Process).

Affirmative Action/Equal Employment Opportunity Policy Statement

It is the intellectual and moral responsibility, but more importantly, the policy of the leadership of Central Connecticut State University (CCSU) to advance social justice and equity by exercising affirmative action to remove all discriminatory barriers to equal employment opportunity and upward mobility. Accordingly, the University, through this plan of affirmative action, will, with conviction and effort, undertake positively to overcome the present effects of past practices, policies or barriers to equal employment opportunity and to achieve the full and fair participation of women, African-Americans, Hispanics, and any other protected groups (including persons with disabilities) found to be underutilized in the workforce or adversely affected by system policies or practices.

Thus, CCSU will consistently review its personnel policies and procedures to ensure that barriers that unnecessarily exclude protected classes and practices that have an illegal discriminatory impact are identified and eliminated. The University will explore alternative approaches if any personnel practice is found to have a negative impact on protected classes and establish procedures for any extra efforts that may be necessary to achieve labor market parity.

Equal opportunity is employment of individuals without consideration of: age; ancestry, color; gender identity and expression; intellectual disability; learning disability; mental disability or history thereof; physical disability; marital status, national origin; race; religious creed; sex, including pregnancy, sexual harassment and sexual assault; sexual orientation; criminal record (in-state employment); veteran status; and, genetic information unless the provisions of Sections 46a-60 (b) or 46a-81 (b) of the Connecticut General Statutes are controlling or there is a bona fide occupational qualification excluding persons in one of the above protected groups. Equal employment opportunity is the purpose and goal of affirmative action under Sections 46a-68-31 through 46a-68-74. The University will consistently review all practices and procedures to ensure full compliance with the spirit and letter of Section 46a-68j-21 through 46a-68j-43 of the Administrative Regulations of State Agencies regarding Contract Compliance.

The role of affirmative action in each step of the employment process with regard to employment applications, job qualifications, job specifications, recruitment practices, hiring, promoting, compensation, personnel policies, job structuring, orientation training, counseling, discrimination complaint process, evaluation, layoffs and termination, or other terms or conditions of employment, is detailed in the following pages and incorporated by reference herein. Clearly, affirmative action and equal employment opportunity are immediate and necessary agency objectives for Central Connecticut State University. Additionally, we shall administer all terms, conditions and benefits of employment in an equitable manner. We also recognize the continued under-representation of persons with disabilities and older persons in the workplace and will pursue and implement measures to overcome the present effects of past discrimination, if any to achieve the full and fair utilization of such persons in the workforce.

This policy of non-discrimination will not be limited to employment practices but will extend, as well, to services and programs provided by the University. It is the policy of Central Connecticut State University that unlawful discrimination is prohibited.

Consequently, it shall be a violation of University policy for any member of the University community to discriminate against any individual with respect to any terms, conditions, or privileges relating to employment (including interns) or attendance at the University based on protected class status. Annually, members of the University community will be notified of the Nondiscrimination in Education and Employment policy and about their rights to file an internal and/or external complaint.

All executive, administrative, and supervisory personnel are expected to discharge their affirmative action responsibilities, in word and deed, consistent with this agency's objective of establishing and implementing affirmative action and equal employment opportunity.

The Affirmative Action Officer responsible for overseeing affirmative action and equal employment opportunity is Ms. Pamela Whitley, Senior Equity & Inclusion Officer/Title IX Coordinator of the Office of Equity and Inclusion (OEI) under the supervision and direction of the Vice President of the Office of Equity & Inclusion, at Central Connecticut State University, Office of Equity & Inclusion, Davidson Hall, Room 119, 1615 Stanley Street, New Britain, CT 06050; Telephone Number: 860.832.1652.

Dr. Zulma R. Toro, President

Date

11/18/2020



Central Connecticut State University Nondiscrimination in Education and Employment Policy

Policy

Central Connecticut State University (CCSU) is committed to a policy of nondiscrimination in education and employment. No person shall be discriminated against in terms and conditions of employment, personnel practices, or access to or participation in programs, services, and activities with regard to: age; ancestry, color; gender identity and expression; intellectual disability; learning disability; mental disability; physical disability; marital status, national origin; race; religious creed; sex, including pregnancy, transgender status, sexual harassment and sexual assault; sexual orientation; veteran status; or any other status protected by federal or state laws. Discrimination in employment-based on genetic information is prohibited. In addition, CCSU will not refuse to hire solely because of a prior criminal conviction, unless that refusal is permitted by Connecticut law.

Harassment on the basis of any of the above protected classes is prohibited. Harassment may occur in a variety of relationships, including faculty and student, supervisor and employee, student and student, staff and student, employee and employee, and other relationships with persons having business at, or visiting the educational or working environment.

This policy is directed at verbal or physical conduct that constitutes discrimination/harassment under state and federal law and is not directed at the content of speech. In cases in which verbal statements and other forms of expression are involved, CCSU will give due consideration to an individual's constitutionally protected right to free speech and academic freedom.

Retaliation is illegal. No individual who opposes an allegedly discriminatory act or practice shall suffer retaliation as a result of such participation. Complaints of retaliation may be filed within a reasonable time of the alleged retaliatory act with the Chief Diversity Officer or any manager not directly involved in the alleged retaliation who will then notify the Office of Diversity and Equity.

This policy shall apply to all individuals affiliated with CCSU including, but not limited to, students, employees, applicants, agents and guests and is intended to protect the rights of concerned individuals.

Definitions

Discrimination. Discrimination is defined as conduct that is directed at an individual because of his or her protected class and subjects the individual to different treatment so as to interfere with or limit the ability of the individual to participate in, or benefit from, the services, activities, or privileges provided by the university or otherwise adversely affects the individual's employment or education.

Discriminatory Harassment. Discriminatory harassment is defined as verbal or physical conduct that is directed at an individual because of his or her protected class, and is sufficiently severe, persistent, or pervasive so as to have the purpose or effect of unreasonably interfering



with an individual's work or academic performance or creating an intimidating, hostile, or offensive work or educational environment.

Retaliation. Retaliation is subjecting a person to a materially adverse action because he or she made a complaint under this policy or assisted or participated in any manner in an investigation under this policy.

Responsibility

The responsibility for implementation of this policy is assigned to the Chief Diversity Officer, who may delegate duties as appropriate. The Office of Diversity and Equity will promptly address each complaint and make reasonable efforts to expeditiously affect a resolution. The investigation of such complaints will be managed with appropriate sensitivity.

Related policies or procedures:

- CCSU Procedures and Timetables for Processing of Discrimination and Sexual Harassment Complaints
- Policy on Consensual Relationships between Employees of the Connecticut State University System
- Policy on Consensual Relationships between Employees and Students of the Connecticut State University System
- Sexual Harassment Policy
- BOR/CSSU Sexual Misconduct, Sexual Assault and Intimate Partner Violence Policy
- Student Code of Conduct and Statement of Disciplinary Procedures

Revised: October 25, 2011

June 13, 2014: mental disorder changed to mental disability October 20, 2017: veteran status added as a protected class

S:\Policies\ODE Policies - Non Discrimination Policy Final October 20 2017.docx

5.2	Sexual Misconduct Reporting, Supportive Measures and Processes Policy	20-103	2020-07-29
	Processes Policy		

Board of Regents for Higher Education Connecticut State Colleges and Universities

Policy Regarding Sexual Misconduct Reporting, Supportive Measures and Processes Policy

STATEMENT OF POLICY

The Board of Regents for Higher Education (BOR) in conjunction with the Connecticut State Colleges and Universities (CSCU) is committed to ensuring that each member of every BOR governed college and university community has the opportunity to participate fully in the process of education and development. The BOR and CSCU strive to maintain a safe and welcoming environment free from acts of sexual misconduct, including, sexual harassment, sexual assault, intimate partner violence and stalking. It is the intent of the BOR and each of its colleges or universities to provide safety, privacy and support to victims of sexual misconduct and intimate partner violence.

The BOR strongly encourages students, parents, bystanders and employees to report any instance of sexual misconduct, including sexual harassment, sexual assault, sexual exploitation, stalking and intimate partner violence. Title IX Coordinators will promptly address these matters and treat all parties equitably. In accordance with federal law Respondents will be presumed not responsible and receive no punitive treatment unless and until found responsible after due process. All BOR governed colleges and universities will provide complainants and respondents with supportive measures, including referral to agencies that provide medical attention, counseling, legal services, advocacy, referrals and general information regarding sexual misconduct.

All CSCU employees and support persons will make any limits of confidentiality clear before any disclosure of facts takes place. Other than confidential resources as defined below and employees who qualify as Campus Security Authorities under the Jeanne Clery Act, all CSCU employees are required to immediately communicate to the institution's Title IX Coordinator any disclosure or report of sexual misconduct received from a student as well as communicate any disclosure or report of sexual misconduct the employee received from another employee when misconduct is related to the business of the institution.

Affirmative consent must be given by all parties before engaging in sexual activity. Affirmative consent means an active, clear and voluntary agreement by a person to engage in sexual activity with another person. Sexual misconduct, as defined herein, is a violation of BOR policies and, in addition, may subject an accused student or employee to criminal penalties. The BOR and each of its governed colleges and universities are committed to providing an environment free of personal offenses. Sexual relationships of any kind between staff/faculty and students are discouraged pursuant to BOR policy.

The Board of Regents for Higher Education hereby directs the Connecticut State Colleges and Universities to implement the Policy stated above pursuant to the following provisions:

TERMS, USAGE AND STANDARDS

Complainant means an individual who is alleged to be the victim of conduct that could constitute sexual harassment.

Consent must be affirmed and given freely, willingly, and knowingly of each participant to desired sexual involvement. Consent is a mutually affirmative, conscious decision — indicated clearly by words or actions — to engage in mutually accepted sexual contact. Consent may be revoked at any time during the sexual activity by any person engaged in the activity.

Affirmative consent may never be assumed because there is no physical resistance or other negative response. A person who initially consents to sexual activity shall be deemed not to have affirmatively consented to any such activity which occurs after that consent is withdrawn. It is the responsibility of each person to assure that he or she has the affirmative consent of all persons engaged in the sexual activity to engage in the sexual activity and that affirmative consent is sustained throughout the sexual activity. It shall not be a valid excuse to an alleged lack of affirmative consent that the student or employee responding to the alleged violation believed that the student reporting or disclosing the alleged violation consented to the activity (i) because the responding student or employee was intoxicated or reckless or failed to take reasonable steps to ascertain whether the student or employee reporting or disclosing the alleged violation affirmatively consented, or (ii) if the responding student or employee knew or should have known that the student or employee reporting or disclosing the alleged violation was unable to consent because the student or employee was unconscious, asleep, unable to communicate due to a mental or physical condition, or incapacitated due to the influence of drugs, alcohol or medication. The existence of a past or current dating or sexual relationship between the persons involved in the alleged violation shall not be determinative of a finding of affirmative consent.

Report means a document filed by a complainant or signed by the Title IX Coordinator alleging sexual harassment against a respondent and requesting that the institution investigate the allegation of sexual harassment. At the time of the filing the formal complaint, the complainant must be participating in or attempting to participate in an education program or activity of the institution.

Disclosure is the receipt of any communication of an incident of sexual misconduct that is not accompanied by a request for an investigation or adjudication by the institution.

Respondent means an individual who has been reported to be the perpetrator of conduct that could constitute sexual harassment.

Sexual misconduct includes engaging in any of the following behaviors:

(a) Sexual harassment, which can include any unwelcome sexual advance or request for sexual favors, or any conduct of a sexual nature when submission to such conduct is made either explicitly or implicitly a term or condition of an individual's education or employment; submission to or rejection of such conduct by an individual is used as a basis for academic or employment decisions affecting the individual; or such conduct has the purpose or effect of substantially interfering with an individual's academic or work performance or creating an intimidating, hostile or offensive educational or employment environment. Examples of conduct which may constitute sexual harassment include but are not limited to:

- sexual flirtation, touching, advances or propositions
- verbal abuse of a sexual nature
- pressure to engage in sexual activity
- graphic or suggestive comments about an individual's dress or appearance
- use of sexually degrading words to describe an individual
- display of sexually suggestive objects, pictures or photographs
- sexual jokes
- stereotypic comments based upon gender
- threats, demands or suggestions that retention of one's educational status is contingent upon toleration of or acquiescence in sexual advances.
- (b) **Sexual assault** shall include but is not limited to a sexual act directed against another person without the consent (as defined herein) of the other person or when that person is not capable of giving such consent.

Sexual assault is further defined in sections 53a-70, 53a-70a, 53a-70b, 53a-71, 53a-72a, 53a-72b and 53a-73a of the Connecticut General Statutes.

- (c) **Sexual exploitation** occurs when a person takes non-consensual or abusive sexual advantage of another for anyone's advantage or benefit other than the person being exploited, and that behavior does not otherwise constitute one of the preceding sexual misconduct offenses. Examples of behavior that could rise to the level of sexual exploitation include:
 - Prostituting another person;
 - Non-consensual visual (e.g., video, photograph) or audio-recording of sexual activity;
 - Non-consensual distribution of photos, other images, or information of an individual's sexual activity, intimate body parts, or nakedness, with the intent to or having the effect of embarrassing an individual who is the subject of such images or information;
 - Going beyond the bounds of consent (for example, an individual who allows friends to hide in the closet to watch him or her having consensual sex);
 - Engaging in non-consensual voyeurism;
 - Knowingly transmitting an STI, such as HIV to another without disclosing your STI status;
 - Exposing one's genitals in non-consensual circumstances, or inducing another to expose his or her genitals; or
 - Possessing, distributing, viewing or forcing others to view illegal pornography.

Sexual exploitation is further defined as a crime in Connecticut State Law.

(d) Intimate partner, domestic and/or dating violence means any physical or sexual harm against an individual by a current or former spouse of or person in a dating or cohabitating relationship with such individual that results from any action by such spouse or such person that may be classified as a sexual assault under section 53a-70, 53a-70a, 53a-70b, 53a-71, 53a-72a, 53a-72b or 53a-73a of the general statutes, stalking under section 53a-181c, 53a-181d or 53a-181e of the

general statutes, or domestic or family violence as designated under section 46b-38h of the general statutes. This includes any physical or sexual harm against an individual by a current or former spouse or by a partner in a dating relationship that results from (1) sexual assault (2) sexual assault in a spousal or cohabiting relationship; (3) domestic violence; (4) sexual harassment (5) sexual exploitation, as such terms are defined in this policy.

Offenses that are designated as "domestic violence" are against family or household members or persons in dating or cohabitating relationships and include assaults, sexual assaults, stalking, and violations of protective or restraining orders issued by a Court. Intimate partner violence may also include physical abuse, threat of abuse, and emotional abuse.

- Physical abuse includes, but is not limited to, slapping, pulling hair or punching.
- Threat of abuse includes but is not limited to, threatening to hit, harm or use a weapon on another (whether victim or acquaintance, friend or family member of the victim) or other forms of verbal threat.
- Emotional abuse includes but is not limited to, damage to one's property, driving recklessly to scare someone, name calling, threatening to hurt one's family members or pets and humiliating another person.
- Cohabitation occurs when two individuals dwell together in the same place as if married.
- The determination of whether a "dating relationship" existed is to be based upon the following factors: the complainant's statement as to whether such a relationship existed, the length of the relationship, the type of the relationship and the frequency of the interaction between the persons reported to be involved in the relationship.
- (e) *Stalking*, which is defined as repeatedly contacting another person when contacting person knows or should know that the contact is unwanted by the other person; and the contact causes the other person reasonable apprehension of imminent physical harm or the contacting person knows or should know that the contact causes substantial impairment of the other person's ability to perform the activities of daily life.

As used in this definition, the term "contacting" includes, but is not limited to, communicating with (including internet communication via e-mail, instant message, on-line community or any other internet communication) or remaining in the physical presence of the other person.

Retaliation is prohibited and occurs when a person is subjected to an adverse employment or educational action because he or she made a complaint under this policy or assisted or participated in any manner in an investigation. No institution or person may intimidate, threaten, coerce, or discriminate against any individual for the purpose of interfering with any right or privilege secured by Title IX or because the individual has made a report of complaint, testified, assisted or participated or refused to participate in any manner in an investigation, proceeding or hearing related to a report or complaint related to sex discrimination.

CONFIDENTIALITY

When a BOR governed college or university receives a report of sexual misconduct all reasonable steps will be taken by the appropriate CSCU officials to preserve the privacy of the complainant and

respondent while promptly investigating and responding to the report. While the institution will strive to maintain the confidentiality of personally identifiable student information reported, which information is subject to privacy requirements of the Family Education Rights Privacy Act (FERPA), the institution also must fulfill its duty to protect the campus community.

Confidential resources are defined as follows: For the Universities, entities with statutory privilege, which include campus based counseling center, health center and pastoral counseling staff members whose official responsibilities include providing mental health counseling to members of the University community as well as off campus counseling and psychological services, health services providers, member(s) of the clergy, and the local Sexual Assault Crisis Center and Domestic Violence Center. For the Colleges, confidential resources are limited to entities with statutory privilege, such as off campus counseling, on campus counseling where available, and psychological services, health services providers, member(s) of the clergy, and the local Sexual Assault Crisis Center and Domestic Violence Center. The personnel of these centers and agencies are bound by state statutes and professional ethics from disclosing information about reports without written releases.

Information provided to a confidential resource by a complainant or respondent cannot be disclosed legally to any other person without consent, except under very limited circumstances, such as an imminent threat of danger to self or others or if the reported complainant is a minor. Therefore, for those who wish to obtain the fullest legal protections and disclose in full confidentiality, she/he must speak with a confidential resource. Each BOR governed college and university will provide a list of such confidential resources in the College or University's geographic region to complainants and respondents as well as publish these resources on-line and in various publications.

Where it is deemed necessary for the institution to take steps to protect the safety of members of the campus community, the institution will seek to act in a manner so as not to compromise the privacy or confidentiality of the either the complainant or respondent to the extent reasonably possible.

MANDATED REPORTING BY COLLEGE AND UNIVERSITY EMPLOYEES

Other than confidential resources as defined above, in addition to employees who qualify as Campus Security Authorities under the Jeanne Clery Act, all employees are required to immediately communicate to the institution's designated recipient (e.g., Title IX Coordinator) any disclosure or report of sexual misconduct received from a student regardless of the age of the complainant. All employees are also required to communicate to the institution's designated recipient (e.g., Title IX Coordinator) any disclosure or report of sexual misconduct received from an employee that impacts employment with the institution or is otherwise related to the business of the institution.

Upon receiving a disclosure or a report of sexual misconduct, employees are expected to supportively, compassionately and professionally offer academic and other accommodations and to provide a referral for support and other services.

Further, in accordance with Connecticut State law, with the exception of student employees, any paid administrator, faculty, staff, athletic director, athletic coach or athletic trainer who, in the ordinary course of their employment, has a reasonable cause to suspect or believe that a person under the age

of 18 years has been abused or neglected, has been placed in imminent harm or has had a non-accidental injury is required by law and Board policy to report the incident within twelve hours to their immediate supervisor and to the Department of Children and Families.

RIGHTS OF PARTIES

Complainants and respondents will be informed in a timely manner of all their rights and options, including the necessary steps and potential outcomes of each option. Complainants and respondents shall be offered non-disciplinary, non-punitive individualized services as appropriate and available that are designed to restore or preserve equal access to the institution's education program or activity without unreasonably burdening the other party, which may include measures designed to protect the safety of all parties or the institution's educational environment or deter sexual harassment.

When choosing a reporting resource the following information should be considered:

- All reports of sexual misconduct will be treated seriously and with dignity by the institution.
- Referrals to off-campus counseling and medical services that are available immediately and confidential, whether or not those who report feel ready to make any decisions about reporting to police, a college or university employee or the campus's Title IX Coordinator.
- Information regarding the right to take both criminal and civil legal action against the individual allegedly responsible.
- Those who seek confidentiality may contact a clergy member(s), a University counseling center psychologist, a University health center care provider, the Sexual Assault Crisis Center of Connecticut and/or the Connecticut Coalition Against Domestic Violence – all of whom are bound by state statutes and professional ethics to maintain confidentiality without written releases.

<u>RIGHT TO NOTIFY LAW ENFORCEMENT & SEEK PROTECTIVE AND OTHER ORDERS</u> Complainants and respondents shall be provided written information about her/his right to:

- (1) notify law enforcement and receive assistance from campus authorities in making the notification; and,
- obtain a protective order, apply for a temporary restraining order or seek enforcement of an existing order. Such orders include:
 - > standing criminal protective orders;
 - > protective orders issued in cases of stalking, harassment, sexual assault, or risk of injury to or impairing the morals of a child;
 - > temporary restraining orders or protective orders prohibiting the harassment of a witness;
 - > family violence protective orders.

The institution will also honor lawful protective or temporary restraining orders.

Each and every BOR governed college and university shall create and provide information specific to its campus detailing the procedures to follow after the commission of such violence, including people or agencies to contact for reporting purposes or to request assistance, and information on the importance of preserving physical evidence.

<u>OPTIONS FOR CHANGING ACADEMIC, HOUSING, TRANSPORTATION AND WORKING</u> ARRANGEMENTS

College and university Title IX Coordinators will provide supportive measures to complainants and respondents. These supportive measures may include, but are not limited to, reasonably available options for changing academic situations, including but not limited to extensions of deadlines or other course related adjustments, modifications of work or class schedules, campus transportation and escort services, mutual restrictions on contact between parties, leaves of absence, increased security and monitoring and housing or working situations.

SUPPORT SERVICES CONTACT INFORMATION

It is BOR policy that whenever a college or university Title IX Coordinator or other employee receives a report of sexual misconduct, the Title IX Coordinator shall immediately provide all parties with contact information for and, if requested, professional assistance in accessing and using any appropriate campus resources, or local advocacy, counseling, health, and mental health services, without fee. All CSCU campuses shall develop and distribute contact information for this purpose as well as provide such information on-line.

SEXUAL MISCONDUCT INVESTIGATION AND PROCEDURES

All complaints of sexual misconduct will be reviewed by the college or university Title IX Coordinator who will determine supportive measures and whether the complaint falls within the scope of Title IX. If the institution's Title IX Coordinator determines that the alleged harassment is

- (1) so severe, pervasive, and objectively offensive that it effectively denies a person equal access to an education program or activity; or,
- (2) implicates an employee of the institution, alleging that the employee conditioned a provision of an aid, benefit, or service upon the complainant's participation in unwelcome sexual conduct; or,

(3) alleges "Sexual assault" as defined in 20 U.S.C. $1092(f)(6)(A)(v)^1$, "dating violence" as defined in 34 U.S.C. $12291(a)(10)^2$, "domestic violence" as defined in 34 U.S.C. $12291(a)(8)^3$, or "stalking" as defined in 34 U.S.C. $12291(a)(30)^4$ as defined in 34 U.S.C. $12291(a)(30)^5$

and

- (4) the alleged harassment occurred within the United States on property owned or controlled by the institution or any building owned or controlled by a student organization officially recognized by the institution; and
- (5) at the time of the filing the Complainant was participating or attempting to participate in the educational program or activity;

The Title IX coordinator will initiate the Title IX Process which shall be applicable to students, faculty and staff. The Title IX Process and Procedures are available on-line and through the Office of the Title IX Coordinator.

If the institution's Title IX Coordinator determines that the alleged harassment does not meet the factors above but the alleged misconduct violates BOR Policy, the following procedures apply:

Each party shall have the opportunity to request that an investigation or disciplinary
proceedings begin promptly; that such disciplinary proceedings shall be conducted by an
official trained annually in issues relating to sexual assault, stalking and dating, domestic or
intimate partner violence and shall use the preponderance of the evidence (more likely than

¹ 20 U.S.C. 1092(f)(6)(A)(v), The term "<u>sexual assault</u>" means an offense classified as a forcible or non-forcible sex offense under the uniform crime reporting system of the Federal Bureau of Investigation.

² 34 U.S.C. 12291(a)(10) The term "dating violence" means violence committed by a person - (A) who is or has been in a social relationship of a romantic or intimate nature with the victim; and (B) where the existence of such a relationship shall be determined based on a consideration of the following factors: (i) The length of the relationship. (ii) The type of relationship. (iii) The frequency of interaction between the persons involved in the relationship.

³ 34 U.S.C. 12291(a)(8) The term "domestic violence" includes felony or misdemeanor crimes of violence committed by a current or former spouse or intimate partner of the victim, by a person with whom the victim shares a child in common, by a person who is cohabitating with or has cohabitated with the victim as a spouse or intimate partner, by a person similarly situated to a spouse of the victim under the domestic or family violence laws of the jurisdiction receiving grant monies, or by any other person against an adult or <u>youth</u> victim who is protected from that person's acts under the domestic or family violence laws of the jurisdiction.

⁴ 34 U.S.C. 12291(a)(30) (30) The term "<u>stalking</u>" means engaging in a course of conduct directed at a specific person that would cause a reasonable person to - (A) fear for his or her safety or the safety of others; or (B) suffer substantial emotional distress.

⁵ 34 U.S.C. 12291(a)(30) (30) The term "<u>stalking</u>" means engaging in a course of conduct directed at a specific person that would cause a reasonable person to - (A) fear for his or her safety or the safety of others; or (B) suffer substantial emotional distress.

not) standard in accordance with State law in making a determination concerning sexual assault, stalking or domestic/dating/intimate partner violence.

- Both the complainant and respondent are entitled to be accompanied to any meeting or proceeding relating to the allegation of sexual misconduct by an advisor or support person of their choice, provided the involvement of such advisor or support person does not result in the postponement or delay of such meeting as scheduled and provided such an advisor or support person may not directly address the Hearing Body, question witnesses or otherwise actively participate in the hearing process or other meeting pertaining to a report of sexual misconduct and each party shall have the opportunity to present evidence and witnesses on her/his behalf during any disciplinary proceeding.
- Both parties are entitled to be provided at the same time written notice of the results of any disciplinary proceeding, normally within one (1) business day after the conclusion of such proceeding, which notice shall include the following: the name of the respondent the violation committed, if any, and any sanction imposed upon the respondent. Sanctions may range from a warning to expulsion, depending upon the behavior and its severity of the violation(s). The complainant shall have the same right to request a review of the decision of any disciplinary proceeding in the same manner and on the same basis as shall the respondent; however, in such cases, if a review by any complainant is granted, among the other actions that may be taken, the sanction of the disciplinary proceeding may also be increased. Both the complainant and respondent are entitled to be simultaneously provided written notice of any change in the results of any disciplinary proceeding prior to the time when the results become final as well as to be notified when such results become final.

If the institution's Title IX Coordinator determines that the allegations do not constitute a violation of either Title IX or Board policy and can make no finding of responsibility, complainant and respondent shall be notified that the matter shall be closed.

Employee sexual misconduct not subject to Title IX is subject to discipline in accordance with the procedures applicable to the employee's classification of employment.

REVIEW AND AUDIT

The Title IX Coordinator will report to the President of the institution on a regular basis all findings on reported sexual misconduct matters. The Title IX Coordinator shall include within its annual Connecticut General Statute 10a-55m Sexual Misconduct Report a separate report specifically disclosing the number of complaints, the subject matter of each complaint and the final outcome of each case processed under Title IX. At a joint meeting of the Human Resources and Administration Committee and the Academic and Student Affairs Committee, the CSCU Title IX

Sexual Misconduct Reporting Support Services and Processes Policy

Coordinator will report annually on CSCU data of complaints and outcomes of sexual misconduct matters reviewed under Title IX, BOR policies, and other applicable state statutes.

DISSEMINATION OF THIS POLICY

Upon adoption by the Board all CSCU institutions shall, upon receipt, immediately post and maintain this policy at all times in an easily accessible manner on each institution's website, handbook and catalogue. This policy shall thereafter be annually provided to all Title IX Coordinators, campus law enforcement officers and security personnel, and other campus personnel. Further, this policy shall be presented at student orientation and at student awareness and prevention trainings, and made broadly available at each campus. The policy shall be expanded upon by each institution to provide resources and contact information specific to their institution and geographic area as set forth above. This includes but is not limited to the name, office address, email address and telephone number of the Title IX Coordinators.

CENTRAL CONNECTICUT STATE UNIVERSITY

AMERICANS WITH DISABILITIES ACT (ADA) POLICY STATEMENT

Central Connecticut State University does not discriminate on the basis of disability in the administration of, or access to, its programs, services or activities. Under this policy, a person with a disability is defined as "a person who has a physical or mental impairment that substantially limits one or more major life activities, has a record of such an impairment, or is regarded as having an impairment."

As President, I have designated the following individual to coordinate the University's compliance with the non-discrimination requirements of Section 35.1067 of the Department of Justice regulations:

Ms. Anna Suski-Lenczewski
Office of Human Resources
Central Connecticut State University
1615 Stanley Street New Britain, CT 06050
860-832-1757
lenczewskia@ccsu.edu

Should you wish to notify us of barriers that may exist in equal access to any program, service, or activity offered by Central Connecticut State University or to obtain information regarding the provisions of the Americans with Disabilities Act and your rights, you are encouraged to contact one of the ADA Coordinators listed above. If you feel that you need a reasonable accommodation as a result of your disability to allow you to perform the essential functions of your position, please follow the attached ADA procedure for requesting a reasonable accommodation.

Dr. Zulma R. Toro, President

Date

Procedures for Requesting a Reasonable Accommodation

Important Information before requesting a reasonable employment accommodation:

Each request for a reasonable employment accommodation will be given serious individualized consideration. All requests will be assessed on a case-by-case basis on its own merits, in light of the particular job, other related jobs, the capabilities of the particular employee and the specific accommodation requested.

CCSU Human Resources is the only University entity that can grant a reasonable employment accommodation. Department Management and supervisory staff are not authorized to grant an employment accommodation. Employees are discouraged from disclosing any medical information with Department Managerial and Supervisory staff. Employee medical information is confidential, protected by law and should only be disclosed to authorized University officials such as Human Resources, the Office of Equity and Inclusion.

When CCSU Human Resources makes an accommodation to the needs of a particular employee, CCSU does not make any commitment that the accommodation is permanent, or that a similar accommodation will automatically be extended to any other employee. CCSU reserves its managerial right and discretion to determine and define operational needs and requirements.

Any employee with a disability requesting a reasonable accommodation may, when permitted by law, be expected to provide medical information necessary for CCSU Human Resources to determine that the employee is a person with a disability and to cooperatively identify barriers and the available accommodations, if any. Failure of the employee to provide the necessary medical information may result in the delay in processing any accommodation request.

An employee with a disability requesting a reasonable accommodation may designate, in writing, an Advocate. The employee must grant to CCSU Human Resources affirmative permission, in writing, to discuss any matter including medical information regarding the request for a reasonable accommodation, in front of or with the designated Advocate. CCSU Human Resources will not discuss in the presence of or with an Advocate without written affirmative permission.

CCSU Human Resources is the only entity that may contact an employee's medical provider, when necessary, to obtain the requisite medical documentation to support the employee's request for a reasonable accommodation. No medical information is to be requested from an employee's medical provider or maintained by any supervisor or manager. All provision of HIPPA will be strictly adhered to regarding medical information, its confidentiality and its file maintenance.

Employee's medical information and other information related to their disability and any accommodation request shall remain confidential unless the employee executes a written "release of information" or if permitted or required under legal authority.

To request a reasonable employment accommodation,

- 1. Employee should complete in its entirety the Request for Reasonable Accommodation Form
- 2. Next, employee should complete <u>Authorization For Disclosure and Release of Medical</u> Information <u>Form</u>

- 3. Next, employee who wishes to designate an Advocate should complete <u>Designation of Advocate</u> and Authorization Form.
- 4. Employee should have his or her physician or other medical provider complete either a <u>Medical Certificate (self)</u> or <u>Medical Certificate (Caregiver)</u> verifying that the employee is a person who has a physical and or mental impairment that substantially limits one or more major life activities, has a record of such impairment or falls within one or more of the disabling conditions protected by state constitution and statutes.

Once completed, employee should submit all forms to CCSU Human Resources ADA designee.

CCSU Human Resources

Davidson Hall Room 201

1615 Stanley Street

New Britain, Connecticut 06050

Phone (860) 832-1756

Fax (959) 255-8790

CCSU Human Resources will contact the requesting employee to meet to begin the interactive process. CCSU Human Resources may need to contact the requesting employee's physician to better understand how the employee's disability affects his or her ability to perform the essential functions of his or her job. CCSU Human Resources will work cooperatively with the requesting employee to determine if a reasonable accommodation can be identified and implemented.

CCSU Human Resources reserves the statutory right to choose a reasonable employment accommodation as permitted by law, even if the employment accommodation is not the specific accommodation requested.

CCSU Human Resources: Reasonable Employment Accommodation Request and Review Process: What to Expect

individual cases may vary. When a reasonable employment accommodation is made to meet the needs of an employee, CCSU Human Resources does not make any commitment that the accommodation is Note: The interactive reasonable employment accommodation request process requires an individualized assessment of each reque st. This chart provides a framework of the steps taken in most cases, but

permanent, or that a similar accommodation will automatically be granted to any other employee.













Step 1 – Employee Request

and to submit all necessary forms to Resources. CCSU Human Resources When an employee communicates will ask the employee to complete request a reasonable employment employee immediately to Human supervisory staff should refer the his or her need for assistance at work because of a disability, accommodation.

Resources will set up a meeting with Resources can fully understand the Process. The interactive process is the requesting employee to begin medical information, if necessary. the Accommodation Interactive ndividualized on a case-by-case Upon receipt of a request for a accommodation, CCSU Human request and to seek additional dialogue so that CCSU Human determination has been made. designed to facilitate an open basis and is on going until a The interactive process is reasonable employment

Step 3 – Medical Information

appropriate accommodations, if any. employee is a qualified person with a disability under Federal and State communicate with the employee's regarding the employee's medical condition(s) to determine if the Resources may need to directly important medical information When necessary, CCSU Human medical providers to obtain aw as well as to determine

Step 4 – Essential Duties Review Medically Supported Requests

CCSU Human Resources will conduct essential duties and other conditions a thorough review of the employee's the employee's position, operational accommodation, essential duties of needs and hardship, if any, entailed Manager. CCSU Human Resources of employment. This review may will not disclose any confidential Manager. Rather, CCSU HR will employee's Supervisor and or employee's Supervisor and or require discussions with the medical information to the by granting the request. discuss the requested

Step 5 - Continuation of Interactive Process - Interim Accommodations

continue to meet with the employee some case, interim accommodations necessary for Human Resources, the employee and his or her Manager to accommodation and any alternative determination can be made. During this interactive dialogue, it may be accommodations, if available. In to explore both the requested CCSU Human Resources will meet to facilitate further reasonable employment may be granted until a discussions.

Step 6 – Employee Request Granted

Resources will issue a determination accommodation granted may not be only for a limited time. Employees After a thorough review including accommodation. The reasonable accommodation may be granted accommodations requests to be and grant to the employee an the interactive process and in the specific accommodation Management, CCSU Human appropriate and reasonable requested. The reasonable should not expect any granted in perpetuity.



Employee Right to File Discrimination Complaint

against them because of their disability, or their perceived employee may choose to seek redress in any other forum. Any employee who believes that CCSU has discriminated Discrimination Complaint Procedures. Alternatively, any disability, with regard to employment or to any program, service or activity, may choose to file a complaint in accordance with CCSU Office of Equity and Inclusion



Employee cannot perform essential duties with or without a Request is Not Medically Supported or it is determined reasonable accommodation

-ederal and State law, the request is not medically supported accommodation then there is no obligation to continue with the process. CCSU Human Resources will provide a written If the employee does not have a disability as defined under determination and may consider whether additional steps perform the essential duties with or without a reasonable or a determination is made that the employee cannot are necessary.



Request for Reasonable Employment Accommodation

Date of Request:	
I am a CCSU employee or Applical requesting a reasonable employment accomn	nt seeking employment with CCSU and am modation as follows:
First Name:	Last Name:
Home Address:	
Phone Number(s): (Home)	(Cell)
Email Address	Preferred method of contact: PhoneEmail
For Job Applicants:	
Position(s) for which you are applying:	
For CCSU Employees:	
Job Title/Classification:	Campus Phone Ext.:
Supervisor's Name:	Supervisor's Phone Ext.:
Assigned Work Department / Unit:	
Are you currently on or returning from an app	proved medical leave of absence?
Is this request related to a work-related Work	ker's Compensation injury or illness?
Medical Information: <u>Medi</u>	ical Certificate (Self) Medical Certificate (Caregiver)
Please identify the medical condition(s), phys requesting an accommodation.	sical and or mental impairments for which you are

If the medical condition(s), impairments listed above are temporary or durational in nature, please indicate expected duration and frequency.



Signature

Page Two

Please provide the name and contact information for the health care professional who either diagnosed or is treating the medical condition(s) listed above. Please include date of diagnosis.

Job and Accommodation Information
Please explain how your medical condition(s), impairment(s) affect(s) your ability to fully engage in and participate in employment activities. Specifically, please explain how it is impacts your ability to perform the essential duties of your position. If you are a new employee, state the anticipated difficulties you foresee in performing the essential duties of your job. Be as specific regarding the job duties you are having difficulty performing or believe you will have difficulty performing. (Attach additional pages if more space is needed).
Please provide your recommendation for a reasonable accommodation and any information you may have about any associated costs (attach supporting documentation).
Please add any comments you feel may be helpful in consideration of your request.
Acknowledgment
I understand that it will be my responsibility to complete and to submit all necessary forms including obtaining a Medical Certificate to CCSU Human Resources. Furthermore, I understand that it is my responsibility to participate in good faith in the interactive process. Failure to submit all necessary forms and or to engage in good faith in the interactive process may delay processing of this request for a reasonable employment accommodation.

Date



Authorization for Disclosure and Release of Medical Information Form

	r authorization. Your completion of this	s form means that you are giving permission for
(₁	residing at	in the town/city of
in the state of	whose date of birth is	, do hereby authorize my health
care professional	loca	ated at
in the town/city of	in the stat	e of zip code
CCS	mation pertinent to the reasonable empl SU Human Resources 1615 Stanley Stro Britain, Connecticut 06050 Phone (860	
my request for a reason condition that affects m equal opportunity in em prohibits employers and information of an individ	able employment accommodation. The y ability to perform my essential job fun ployment activities. The Genetic Inform I other covered entities covered by GINA dual or family member of the individual,	on to be used solely for the purposes of evaluating information being requested relates only to any ections or restricts my ability to receive full and nation Nondiscrimination Act of 2008 (GINA) a Title II from requesting or requiring genetic except as specifically allowed by this law. To nen responding to this request for medical
This Authorization shall in writing to CCSU Hum Initial	be valid for a period of 180 days after th an Resources.	e date of my signature or earlier if revoked by me
Acknowledgement		
expressed purposes identified further understand that by CCSU Human Resour acknowledge that I have acknowledge that I have Authorization in writing may no longer be used to	ntified above, unless such use or disclosu once this information is disclosed pursu rces privacy policies, and may possibly be been informed of my right to receive a the right to refuse to sign this Authoriz at any time. I understand that if I revok or disclosed for the purposes described we request must be sent to: CCSU Human	ose my medical information except for the cure is specifically required or permitted by law. I want to this Authorization, it is no longer protected be re-disclosed by the recipient. I hereby copy of this Authorization request. I further wation. I acknowledge that I may revoke this see this Authorization, the information described within this Authorization. To revoke this a Resources 1615 Stanley Street, Davidson Hall
My signature below ind	icates that I have read and understand t	his Authorization and its terms.
Signature		Date



Designation of Advocate and Authorization Form

As required by Connecticut law, CCSU Human Resources may not use or disclose your individually identifiable information without your authorization. Your completion of this form means that you are designating an Advocate pursuant to a request for a reasonable employment accommodation and giving permission to CCSU Human Resources for the use(s) and disclosure described below. Pursuant to a request for a reasonable employment accommodation, I,______ do hereby affirmatively grant permission to CCSU Human Resources to speak with and in front of my designated Advocate, ______(Name of Advocate) whose affiliation is: Personal_____; Union Representative_____; Other Professional_____ for the purposes of assisting or otherwise providing support to me throughout the required "Accommodation Interactive Process," Acknowledgement lunderstand that CCSU Human Resources may not use or disclose my medical information except for the expressed purposes identified above. I further understand that CCSU Human Resources may disclose protected medical information as well as personal employment information such as performance, including discipline, if applicable, that would be otherwise protected from disclosure throughout the "Accommodation Interactive Process." I hereby acknowledge that I have been informed of my right to receive a copy of this Designation and Authorization. I acknowledge that I may revoke this Authorization in writing at any time. I understand that if I revoke this Authorization, the information described may no longer be used or disclosed for the purposes described within this Authorization. To revoke this Authorization, a written request must be sent to: CCSU Human Resources 1615 Stanley Street, Davidson Hall Room 201 New Britain Connecticut 06050. My signature below indicates that I have read and understand this Authorization and its terms. Date Signature

Policy#	Policy Name	BR#	Board Action Date
2.2	Use of Preferred First Name & Execution of Change to Legal Name by Students - CSCU	17-041	2017-04-06

Use of a Preferred First Name and Execution of Changes to Legal Name by Students

Statement of Policy

The Board of Regents for Higher Education is committed to providing an educational environment where all are welcome and free to express the manner in which they choose to identify themselves. In this vein the BOR is issuing this policy so that individuals may be identified by a preferred first name or used name as well as understand procedures to change their legal names for the purposes of their education records.

Connecticut State Colleges & Universities (CSCU) shall use a preferred or used name on all documents and records other than official documents, such as diplomas and transcripts. Documents and records that may display a preferred or used name include, among others, course rosters, identification cards, email addresses, and honors, awards and prizes issued by the institution.

The Board of Regents for Higher Education directs the system office working with representatives of the CSCU institutions to establish appropriate forms, procedures and timelines to facilitate students' requests for usage of a preferred first name or used name. The institutions are to incorporate advisement regarding the ramifications of preferred first name or used name usage into their process.

CSCU institutions must use students' legal names in all official documents, despite requests for the usage of preferred or used names. Legal names are to appear on all external use reports and documents including, but not limited to employment paper work, paychecks, tax forms, student billings, financial aid forms, scholarships, transcripts, diplomas, and other documents required by law.

The Board of Regents for Higher Education directs the system office working with representatives of the CSCU institutions to establish appropriate forms, procedures and timelines to facilitate students' requests to change their legal name. Within such a request, the student must present an original or certified copy of the court order. After the request has been processed, only the new legal name should be reflected in the institution's official documents, unless the individual requests in writing that such documents include reference to his or her former name (e.g. Heather Pauline Armstrong formerly known as John Joseph Doe).

In the event of a typographical or other error in institutional records or documents, the individual's request to correct the name will be granted after verification that the correction is due to an error. Documents that may provide sufficient verification for determination of the correction include birth certificates, social security cards, driver's licenses, and other documents issued by federal, state and local governmental agencies.

Definitions

Preferred First Name: A preferred first name or used name is not a legal first name, but is generally used to change the manner in which others refer to the individual. For example, student Mathew Allan Smith may prefer the name Matt or Susan Elaine Taylor may choose to be referred to as Sue or Elly rather than Susan. Note that preferred first names or used names are not limited to variations or derivatives of a given or legal name; for example, student Margaret Ann Parker may request the preferred name Robert or Lawrence Peterson may choose to be called Sarah due to gender identity or transgender status, respectively, without court-ordered documentations.

Legal Name: A legal name is the person's official name in accordance with the law. Legal names can only be changed on official documents when a student acquires a court order. Such a court order may arise in a number of different contexts, including a name change proceeding, an adoption, a divorce decree, individual choice, witness protection program. Additionally, a marriage certificate should be treated like a court order.

Central Connecticut State University

Procedures and Timetables for Processing of Discrimination, Harassment Intimate Partner Violence and Sexual Misconduct Complaints

In accordance with Section 46a-68-78 of the Affirmative Action Regulations of Connecticut State Agencies, the following procedures provide an internal process for the handling of complaints involving claims of discrimination or harassment, including sexual misconduct/violence.

This procedure is designed to further implement the Nondiscrimination in Education and Employment and BOR/CSCU Sexual Misconduct Reporting, Support Services, and Processes policies by providing a process through which individuals alleging violation of these policies may pursue a complaint. This includes allegations of retaliation, discrimination, harassment based on age, ancestry, color, disability, gender identity or expression, genetics, national origin, marital status, race, sex (including pregnancy, transgender status, sexual harassment and misconduct), religious creed, veteran status, sexual orientation, prior criminal conviction and any other status protected by federal or state laws.

When responding to an internal complaint, disclosure of information relating to the internal complaint and the identity of the complainant will be handled with appropriate sensitivity and in accordance with applicable laws.

A. Process for Filing Internal Complaints of Alleged Discrimination or Sexual Harassment and Misconduct

1. Who may file:

Any employee, applicant for employment, student, applicant for admission or any other person, including visitors.

2. When to file:

To provide adequate opportunity for a prompt investigation, complainants are encouraged to file as soon as possible but, except in cases involving sexual misconduct, must file no later than ninety (90) calendar days following the complainant's first knowledge of the alleged discriminatory act.

For cases involving allegations of sexual misconduct, there is no time limit for the filing of complaints. In extenuating circumstances, the Senior Equity & Inclusion Officer has the discretion to waive the deadline for the filing of complaints involving matters other than sexual misconduct. Once filed, the internal complaint must be resolved within ninety (90) calendar days unless the complainant consents to extend this time period.

3. Where to File:

The Office of Equity & Inclusion handles internal complaints alleging violations of the Nondiscrimination Opportunity in Education and Employment and/or the BOR/CSCU Sexual Misconduct Reporting, Support Services, and Processes policies. The Senior Equity & Inclusion Officer (SEIO) or his/her designee reviews and, if necessary, conducts an investigation into each complaint that, if proven, would constitute a violation of CCSU policies. Complaints against students are file with the Office of Student Conduct. See CCSU Student Code of Conduct and Statement of Disciplinary Procedures.

All complaints

Pamela Whitley, Senior Equity & Inclusion Officer Office of Equity & Inclusion Davidson Hall 119 860-832-1652

Complaints against Students

Stephanie Reis, Director Office of Student Conduct Willard DiLoreto Hall, Room W-105 860-832-1667

The SEIO serves as the Title IX Officer. Complaints against students may be referred to the Office of Student Conduct.

Reports against the President or Office of Equity & Inclusion Employees

If a discrimination complaint is made against the President or an Office of Equity & Inclusion employee alleging that these employees directly or personally engaged in discriminatory conduct, the complaint shall be referred to the Commission on Human Rights and Opportunities (CHRO) for review and, if appropriate, investigation by the Department of Administrative Services, except if any such complaint has been filed with the Equal Employment Opportunity Commission or the Commission on Human Rights and Opportunities, the CHRO or Department of Administrative Services may rely upon the process of the applicable commission in lieu of such investigation.

4. Process for filing complaints

At the time an individual makes his/her complaint, the SEIO or designee will provide the individual with the University's respective policies on CCSU Nondiscrimination in Education and Employment Policy and/or the BOR/CSCU Sexual Misconduct Reporting, Support Services, and Processes Policy and the procedures and timetables for processing internal complaints.

No Basis to Proceed.

At any point during the processing of the complaint, the SEIO or designee may determine that there is no basis to proceed under the Nondiscrimination in Education and Employment Policy, BOR/CSCU Sexual Misconduct Reporting, Support Services and Processes Policy. The SEIO or designee shall refer the complaint as appropriate. The SEIO or designee shall notify the complainant and, if necessary, the respondent of the outcome as appropriate, in accordance with applicable state and federal laws.

Investigatory Process.

The Office of Equity & Inclusion shall provide the respondent with a written summary of the complaint, including a description of the alleged discriminatory acts, within ten (10) business days of the filing of the complaint. If the complaint is in writing, the Office of Equity & Inclusion shall provide the respondent with a copy of the written complaint or summary of the complaint. Disclosure of information shall be in accordance with applicable state and federal laws.

The SEIO or designee shall weigh all evidence pertaining to the internal complaint, make findings of fact, recommendations, and, with the consent of the parties and appropriate executive officer, propose settlements to the University President. Without investigation, the SEIO or designee may also mediate issues between parties where the allegations, if proven, would not constitute a violation of CCSU policies.

The complainant and the respondent (person accused) will be allowed to have one non-participating support person present for the interview(s). For represented employees, this support person could be a union representative; however, if the support person is not a union representative, the employee who is the respondent will be asked to sign a union waiver. For more information, see the Right to Union Representation section.

Timeline

Internal complaints shall be investigated and resolved within ninety (90) calendar days of the receipt of the complaint, including the written notification to the complainant(s) and respondent(s) regarding the results of the investigation. Whenever possible, complaints should be resolved in accordance with relevant University policies at the supervisory, Dean or Director's level with the concurrence of the SEIO.

Right to Union Representation.

In accordance with federal law and applicable collective bargaining agreements, represented employees may have the right to request and receive union representation during an investigatory meeting.

Nothing in this procedure is intended to expand, diminish or alter in any manner whatsoever any right or remedy available under a collective bargaining agreement, personnel policy or law.

Determination.

Upon the conclusion of its investigation, the SEIO or designee will determine whether or not discrimination or harassment in violation of CCSU policy occurred. A preponderance of the evidence standard is used to make this determination. A copy of the investigatory report will be provided to the University President.

- 1. Unsupportable Complaints. If the SEIO or designee determines that the evidence is insufficient to support the allegation, he or she shall dismiss the complaint.
- 2. Supportable Complaints. If the SEIO concludes that the allegations are supported by the evidence, he or she will report his or her findings and recommendations to the appropriate administrator.

5. Disciplinary Action

If the SEIO or designee believes that disciplinary action against the respondent may be warranted at this or a subsequent stage, a recommendation will be made to the Chief Human Resource Office (for employees) or the Office of Student Conduct (for students).

B. Process for Filing an Appeal

Within fifteen (15) calendar days of the issuance of the Office of Equity & Inclusion's determination, the complainant or respondent may file an appeal of the determination. The appeal and all supporting documentation shall be submitted in writing to the University President, with copies to the SEIO and other parties to the complaint.

The President or designee shall review the investigation and determine whether to affirm or modify the decision. The President or designee may receive additional information if the President or designee believes such information would aid in the consideration of the appeal.

If an appeal of the SEIO's determination is filed, the University President or designee shall conduct a review of said appeal and issue a written decision within thirty (30) calendar days of the appeal. The University President shall notify all parties in writing of his/her decision.

AAUP members have the option of appealing the decision using a review panel as outlined in the AAUP Complaint Procedure.

The decision on appeal exhausts the complainant's and the respondent's administrative remedies under this procedure except as provided herein.

Appeal Process for AAUP Members

The complaint will be processed according to an agreed-upon procedure consistent with the CSU-AAUP Collective Bargaining Agreement, Appendix F. See AAUP Complaint Review Procedure.

C. Records Maintenance

The SEIO shall create and maintain a file of each internal complaint received under these procedures. All information, including records and correspondence pertaining to said internal complaint will be kept in this file. Access to the file will be in accordance with applicable State and Federal statutes and collective bargaining agreements. The SEIO will secure these files. All such files shall be maintained indefinitely, unless otherwise required by applicable State and Federal statutes and collective bargaining agreements.

All records of internal complaints and dispositions shall be reviewed on a regular basis by the Office of Equity & Inclusion to discern any pattern in the nature of the internal complaints.

Related policies and procedures:

- Nondiscrimination in Education and Employment Policy
- BOR/CSCU Sexual Misconduct Reporting, Support Services, and Processes
- Consensual Relationship Policy
- Student Code of Conduct and Statement of Disciplinary Procedures

Revised October 25, 2011

Revised June 6, 2014—added BOR Sexual Misconduct, Sexual Assault and Intimate Partner Violence Policy, and revised the following sections: Investigatory process; Reports against CDO

Revised June 15, 2016 the following section: Investigatory Process: removal of sexual harassment policy from related policies and procedures; update notification timeframe for responding parties; clarification of support person for represented employees

Revised June 12, 2017 the following section: Investigatory Process: The right to a support person has been modified to expressly state a respondent or complainant is entitled to only one support person during the investigatory process.

Revised November 27, 2017 the following section: Introduction: added veteran status to protected class listing.

Revised April 23, 2018 the following section: When to file section.

Revised May 6, 2018 – updated the name of the BOR/CSCU Sexual Misconduct Reporting, Support Services, and Processes and records retention section to maintain files indefinitely.

S:\Policies\ODE Policies - CCSU Procedures and Timetables for Processing of Discrimination, Harassment, IPM and SM Complaints May 6, 2018 FINAL.docx

Revised November 1, 2019 – updated the change in the name of the Office and the Senior Equity & Inclusion Officer and regulation number change.

DISCRIMINATION COMPLAINT AGENCIES

An individual has the right to file his or her complaint of discrimination with any or all of the relevant agencies listed below. The individual can also simultaneously avail himself or herself of Central Connecticut State University (CCSU).

1. The Connecticut Commission on Human Rights & Opportunities

Southwest Region Office	West Central Region Office
350 Fairfield Avenue	Rowland State Government Center
6 th Floor	55 West Main Street, Suite 210
Bridgeport, CT 06604	Waterbury, CT 06702-2004
Tel: (203) 579-6246	Tel: (203) 805-6530
TDD (203) 579 – 6246	TDD (203) 805-6579

Capitol Region Office	Eastern Region Office
450 Columbus Blvd	100 Broadway
Hartford, CT 06103	Norwich, CT 06360
Tel: (860) 566-7710	Tel: (860) 886-5703
TDD (860) 566 – 7710	TDD (860) 886 - 5707

Complaints should be filed with the Commission on Human Rights and Opportunities (CHRO) no later than three hundred (300) days after the alleged act of employment discrimination occurred.

2. The Equal Employment Opportunities Commission

John F. Kennedy Federal Office Building Government Center, Room 475 Boston, MA 02203 Tel: (617) 565-3200

Complaints should be filed with the Equal Employment Opportunities Commission (EEOC) no later than one hundred and eighty (180) days after the alleged act of employment discrimination occurred, except, that in a case when the aggrieved person has initially filed a complaint with the Commission on Human Rights and Opportunities, such complaint should be filed no later than three hundred (300) days after the alleged act of employment discrimination occurred. Alternatively:

3. Department of Education, Office of Civil Rights

United States Department of Education Boston Office 8th Floor 5 Post Office Square Boston, Massachusetts 02109-3921 Tel: (617) 289-0111

4. Department of Justice, for ADA complaints

United States Department of Justice 950 Pennsylvania Avenue, NW Civil Rights Division Disability Rights Section

Washington, D.C 20530

https://www.ada.gov/complaint/

This is the email to complete the required ADA complaint form.

To file ADA complaint by facsimile, send completed ADA complaint form to

Tel: (202)-307-1197

5. Connecticut Commission on Women, Children and Seniors, Equity & Opportunity

18-20 Trinity Street Hartford, CT 06106 Tel: (860) 240-1424

6. State of Connecticut: Employee Grievance Procedure

(Contact Human Resources Office or union representatives for Grievance forms and/or procedures).

200 Folly Brook Boulevard Wethersfield, CT 06109 Tel: (860) 566-3450

7. Wage and Hour and Public Contracts Division

United States Labor Department 135 High Street

Hartford, CT 06103 Tel: (860) 240-4277

8. Wage and Workplace Standards Division

Connecticut Department of Labor 200 Folly Brook Boulevard Wethersfield, CT 06109

Tel: (860) 263-6790